

3 - 7 - 0 - 2
Sector A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): Krames, Michael R.; Takeuchi, Tetsuya; Yamada, Norihide; Amano, Hiroshi; and Akasaki Isamu

Assignee: LumiLeds Lighting U.S., LLC

Title: Nitride Semiconductor Device With Reduced Polarization Fields

Serial No.: 09/992,192 Filing Date: November 13, 2001

Examiner: Unassigned Group Art Unit: Unassigned

Docket No.: M-11040-3P US

San Jose, California
March 5, 2002

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-
PROVISIONAL APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on January 22, 2002, the following documents are enclosed to complete the filing of the above-identified patent application:

1. Three Declarations For Patent Application And Power Of Attorney signed by the inventors in compliance with 37 CFR 1.63; and
2. Copy of Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted.

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

LAW OFFICES OF
SKIERVEN MORRILL
MacPHERSON LLP

25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979

1.	Surcharge for filing declaration on a date later than the filing date of the application.	\$130.00
2.	TOTAL FEES:	\$ 130.00

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of November 13, 2001. Please telephone the undersigned at (408) 453-9200, if there are any questions. This form is being submitted in duplicate.

EXPRESS MAIL LABEL NO:

EL 937 080 205 US

Respectfully submitted,

Mark E. Schmidt

Mark E. Schmidt
Patent Agent for Applicant(s)
Reg. No. 47,063

LAW OFFICES OF
SKJERVEN MORRILL
MacPHERSON LLP

25 METRO DRIVE
SUITE 700
SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/992,192	11/13/2001	Michael R. Krames	M-11040-3P US

O I P E
MAR 05 2002
PATENT & TRADEMARK OFFICE

Mark E. Schmidt
SKJERVEN MORRILL MacPHERSON LLP
Suite 700
25 Metro Drive
San Jose, CA 95110-1349

CONFIRMATION NO. 7009
FORMALITIES LETTER



OC00000007335209

Date Mailed: 01/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Norihide Yamada, Hiroshi Amano, Isamu Akasaki
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/13/2002 BNNGUYEN1 00000035 192386 09992192

01 FC:105 130.00 CH